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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 04-372 (400.137))

**Examiner: TBD** 

**Group Art Unit: 1632** 

Confirmation No.: 9826

in the Application of:		

McSwiggen et al.

Serial No.: 10/698,311

Filing Date: October 31, 2003

For: RNA Interference Mediated Treatment of )
Parkinson Disease Using Short Interfering )

**Nucleic Acid (SINA)** 

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

#### TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached papers for the above identified new patent application:

Supplemental Information Disclosure Statement;

Supplemental Information Disclosure Statement (IDS) PTO-1449 Form;

 $oxed{\boxtimes}$  Copies of IDS Citations for S/N 10/698,311 (Total 3 foreign patents and 3 other documents); and

Return Receipt Postcard.

- 2. With respect to additional fees, no additional fee is required.
- 3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on 3-22 2005.

By:

Lisa M.W. Hillman Reg. No. 43,673





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#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the cited references are enclosed. These references are also listed on the enclosed PTO Form 1449.

The Office has waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. In accordance with this waiver, cited U.S. patents and U.S. patent application publications are not enclosed.

As this Information Disclosure Statement is being submitted after receipt of a foreign search report, a copy of the search report is attached herewith.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief is in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

Respectfully submitted,

**McDonnell Boehnen Hulbert & Berghoff** 

Date:

3-22

2005 By:

Reg. No. 43,673

McDonnell Boehnen Hulbert & Berghoff LLP 300 South Wacker Drive, 32nd Floor

Chicago, IL 60606

Telephone: 312-913-0001

Facsimile:

312-913-0002

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No.	Serial No.	
MAR 2 5 2005 B	INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	04-372 (400/137)	10/698,311	
		Applicant:		
		McSwiggen et al.		
		Filing Date:	Group:	
		October 31, 2003	1632	

### **FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Class Subclass	Translation	
						Yes	No
1.	03/070918	08/28/03	WO (McSwiggen et al.)				
2.	03/099298	12/04/03	WO (Tuschl et al.)				
3.	04/047872	06/10/04	WO (Kaemmerer)				

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

 4.	International Search Report for PCT/US2004/017630 mailed March 8, 2005
5.	Nelson et al., "The mRNA of α-Synuclein is a Putative Microrna (MIRNA) Target," Program No. 558.8. 2003 Abstract Viewer/Itinerary Planner, Washington, DC: Society for Neuroscience, 2003 online
6.	Sapru et al., "Small Interfering RNA (SIRNA) – Mediated Silencing of α-Synuclein Gene Expression," Program No. 297.9, 2003 Abstract Viewer/Itinerary Planner, Washington, DC: Society for Neuroscience, 2003 online

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.